

Bepartment of Education REGION IV-A CALABARZON

RISK REGISTRY

Effective as of May 27, 2024

1		No
Declared Process: Monitoring and Evaluation of PD Programs and PPAs Risk description Late request for QAME link/QAME Associate	Declared Process & Risk Description	Ris
Cause: Due to over lapping of activities, the program proponent overlooked the required QAME link request Consequence: Delay in providing the QAME link to the program proponent	Risk Causes & Consequences	Risk Identification
Registry of QAME for PPAs and PDPs	Current controls	
2	Likelihood Impact	Risk /
8	[mpact (I)	Risk Analysis & Risk Evaluation
4 (Low)	Risk Rating (RR)	ls &
Sharing the Registry of QAME for PPAs and PDPs to all FD M&E Focal	Action/ Response	Ris
EPSs	Person/ Office Responsible	Risk Treatment
June 28/Septe mber 27/ December 27 2024	Target Date	
	1	Ta As
		Target Risk Assessment (after treatment)





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2		No
Declared Process: Program Implementation Review (PIR) Risk description: Delayed submission of the reports for consolidation.	Declared Process & Risk Description	Ris
Due to equally important activities that the PMIS focal person of each FDs need to attend to during Memorandum on the Pre-PIR. Consequence: Late submission of the consolidated report for final checking.	Risk Causes & Consequences	Risk Identification
Office Memorandum on the conduct of Pre-PIR and PIR.	Current controls	
ω	Likelihood Impact	Risk Analysis & Risk Evaluation
ω	mpact (I)	nalys
9 (Medium)	Risk Rating (RR)	is &
Reiteration of the importance of conduct of Pre-PIR and submission of the reports for consolidation.	Action/ Response	Ris
EPSs	Person/ Office Responsible	Risk Treatment
June 28, 2024	Target Date	
		D H
	L	Target Risk Assessment (after treatment)





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ω		No
Declared Process: Management of the Conduct of the Qualifying Examination for School Head (NQESH) Risk description Delayed release of the memorandum or guidelines from the Central Office.	Declared Process & Risk Description	Ris
Cause: Late issuance of the final guidelines and memorandum from the Central Office as regards fund allocation from the examinees' payment. Consequence: Delayed in the final fund allocation.	Risk Causes & Consequences	Risk Identification
Issued memorandum	Current controls	
2	Likelihood Impact	Risk Analysis & Risk Evaluation
2	mpact (I)	Risk Analysis & Risk Evaluation
4 (Low)	Risk Rating (RR)	is &
Seek assistance from the Finance Office on Fund Allocation	Action/ Response	Ris
EPSs	Person/ Office Responsible	Risk Treatment
June 28/Septe mber 27/ December 27 2024	Target Date	
	۲	Targe Asses (a) treat
	I RR	Target Risk Assessment (after treatment)
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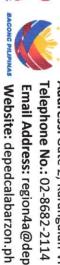


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Declared Process & Risk Causes & Risk Causes & Consequences Declared Process: Management of the Conduct of National Achievement Test (NAT) / National Career Assessment Examination (NCAE) / Early Language Literacy and Numeracy Assessment (ELLNA) Risk description: Unavailability of the Monitoring officials Risk description: No Risk Causes & Consequences Cause: Overlapping of activities Consequence: Insufficient number of Monitoring officials to monitor all SDOs
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Risk Ca Conseque Conseque Conseque Insufficien number of Monitoring to monitor SDOs
als
Current controls Schedule of Monitors
Risk Analysis & Risk Evaluation Risk Evaluation Likelihood Impact Ra (I)
mpaci (I)
Risk Rating (RR)
Risk Rating (RR) Risk Rating Response (RR) Ensure the collaboratio n of all the monitoring officials and proper scheduling
Person/Office Responsible re Chief and BEPSs Ind Re Chief and BEPSs Ind Re Responsible
Target Date June 28/Septe mber 27/ December 27 2024
L trea
Assessment (after treatment) L I RR





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Risk Causes & Risk Causes & Consequences Cause: Declared Process: Incomplete Risk Description Crant of Permits to Occumentary Operate and Recognitions requirements for applications of clients on Delay on the time due to inappropriate processing of the submission of documents. recognitions. Risk Causes & Current controls Likelihood Impact Raing (L) (I) (I) (RR) Response Responsible Date L I RR Response Responsible Date L I RR Reiteration of the guidelines on the submission of the permits to applications of clients on Delay on the time due to inappropriate processing of the permits and incomplete permits and recognitions. Risk Analysis & Risk Treatment Action/ Office (L) I RR Consequences: Ocurent controls (Likelihood Impact Raing (RER)) Response Responsible Date L I RR Reiteration of the guidelines on the submission of the documentar requirementar permits and incomplete permits and incomplete permits and recognitions.
Risk Identification Risk Analysis & Risk Treatment Assessment Algebra Action/ (I) Response Responsible Cause: Cause: Cause: Cause: Cause: Cause: Consequences Consequences Consequences Consequences Consequences Consequences Consequences: Action/ (I) Retitration of the submission and documentar your family recognition Action/ Action/ In Il
Current controls Current controls Likelihood Impact Risk Evaluation Response Response Responsible Date Likelihood Impact Rating Response Responsible Date Likelihood Impact Rating Response Responsible Date Likelihood Likelihood Impact Rating Response Responsible Date Likelihood Likelihood Impact Rating Response Responsible Date Likelihood Likelihood Likelihood Impact Rating Response Responsible Date Likelihood Likelihood Likelihood Likelihood Likelihood Impact Rating Response Responsible Date Likelihood Likelihood Likelihood Likelihood Likelihood Likelihood Likelihood Likelihood Likelihood Rating Response Responsible Date Likelihood Rating Response Responsible Date Likelihood Likeliho
Risk Analysis & Risk Treatment Risk Evaluation Risk Evaluation Risk Action/ (After treatment) Response Responsible Responsible Responsible Responsible Responsible Responsible Responsible Responsible Chief/EPSs 2024 July 5, analysis & Assessm Assessm Action/ Office Coffice Date L I Reiteration of the guidelines on the submission of the documentar y requiremen ts through an advisory,
Risk Analysis & Risk Treatment Likelihood Impact (I) (L) Risk Evaluation Risk Action/ Office (I) Reiteration of the submission of the documentar y requiremen ts through an advisory, Risk Treatment treatment treatment Assessm Acsessm Acsessm Acsessm Acsessm Acsessm Acsessm Acsessm Acsessm Action/ Office Date Chief/EPSs 2024
kion Risk Rating Rating (RR) Reiteration of the submission of the documentar y requiremen ts through an advisory, Ration/ Reisk Treatment (after Target Date L I I I I I I I I I I I I I
Risk Treatment Risk Treatment Assessm (after treatment ting Response Response Responsible Responsible Responsible Responsible Responsible Date L I I I I I I I I I I I I I I I I I I
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Target Risk Assessment (after treatment) L I RR
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7		No	
Grant of Special Orders (SO) of Private Schools/Technical Vocational Institutions (TVI)/Non DepEd Public Schools (Request for Issuance of Special Order (SO) Risk Description: Late submission of Annual SO SO Application	Declared Process & Risk Description	Ris	
ise: 1-compliance of cool on the eduled deadline submission 1-compliance of ool on the eduled deadline eduled deadline eduled deadline submission	Risk Causes & Consequences	Risk Identification	
DepEd Guidelines	Current controls		
Cī	Likelihood Impact	Risk Analysis & Risk Evaluation	
ω	ipact (I)	alys alua	
15 (High)	Risk Rating (RR)	is &	
Orientation on the Enhanced Guidelines of SO QAD June 20-Application to All SDO Counterpar ts and Private Schools	Action/ Response	Ris	
QAD EPSs/ADAS	Person/ Office Responsible	Risk Treatment	
June 20- 21, 2024	Target Date	•	
	L I RR	Target Risk Assessment (after treatment)	





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00		No
Declared Process: Review and Approval of Tuition and other Fess Increase, No Increase, and Private Schools are not Private Schools Prescribed Emplate Polay in the approval/acknow dgement of Tuition Fee Increase Application	Declared Process & Risk Description	Ris
rparts not ented g the knowle	Risk Causes & Consequences	Risk Identification
DepEd Memorandum No. 019 s.2023	Current controls	
2	Likelihood Impact	Risk Analysis & Risk Evaluation
ω	mpact (I)	nalys
Issue the Reference of Construction of Section 18 of Secti	Risk Rating (RR)	is &
suance of e gional emorandu eiterating e c cumenta equiremen sSDO onterparts id Private shools	Action/ Response	Ris
	Person/ Office Responsible	Risk Treatment
June 20- 21, 2024	Target Date	
	1	Asset (a trea
	I RR	Target Risk Assessment (after treatment)





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10	9			No
Declared Process: Organization Management	Private School Voluntary (Temporary/ Permanent) for closure Risk Description: Not tallied reports/data from RO and SDO Cause: Non-notification for closure Consequence: No Updated Database on the Existence of the School	Declared Process:	Declared Process & Risk Description	Ris
Cause: Non-compliance and timely non-	Cause: Non-notification for closure Consequence: No Updated Database on the Existence of the School		Risk Causes & Consequences	Risk Identification
	Regional Order No. 09 s. 2019 Transitory Guidelines on the Involuntary Closure		Current controls	
	1		Likelihood Impact	Risk Analysis & Risk Evaluation
	2		mpact (I)	nalys
	2 (Low)		Risk Rating (RR)	is &
Strictly comply with Chief/ EPSs 21,2024 required	Issuance of an advisory QAD EPSs/ June 27, on Regional ADAS 2024 Order No.		Action/ Response	Ris
Chief/ EPSs	QAD EPSs/ ADAS		Person/ Office Responsible	Risk Treatment
June 20- 21,2024	June 27, 2024		Target Date	
			L I RR	Target Risk Assessment (after treatment)





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No			11	
Ris	Declared Process & Risk Description	(Establishment, Merging, Conversion, and Naming/Renaming of Public Schools, and Separation of Public School Annex in Basic Education) Risk Description: Inability to process applications of clients on time due to incomplete submission of documents.	Declared Process:	Organization
Risk Identification	Risk Causes & Consequences	consequences: Delay on the processing of the application and issuance of the special order.	Declared Process:	Grant of Permits to
	Current controls	DO 40. S. 2014	DO 50 s. 2015	
Risk / Risk I	Likelihood Impact	۲		
Risk Analysis & Risk Evaluation	Impact (I)	Ю		
s &	Risk Rating (RR)	2 (Low)		
Ris	Action/ Response	documents and coordinate with the SDO Counterpar ts through Capacity Building Cum Workshop	Strictly comply with	the
Risk Treatment	Person/ Office Responsible		Strictly Chief/ EPSs June 20- comply with Chief/ EPSs 21,2024	
	Target Date		June 20- 21,2024	
Target Risk Assessment (after treatment)				





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No.		
Ris	Declared Process & Risk Description	Management (Establishment of a Stand-Recognitions Alone SHSs, Junior High School (JHs) and Integrated Schools (ISs) or request for additional of Special C Tracks Risk Description: Inability to process applications of clients on time due to incomplete submission of documents.
Risk Identification	Risk Causes & Consequences	Operate and Recognitions Consequences: Delay of issuance of Special Order
	Current controls	
Risk /	Likelihood Impac (L) (I)	1
Risk Analysis & Risk Evaluation	Impact (I)	22
s &	Risk Rating (RR)	2 (Low)
Ris	Action/ Response	required documents and coordinate with the SDO Counterpar ts through Capacity Building Cum Workshop
Risk Treatment	Person/ Office Responsible	
	Target Date	
Tar Ass tre	T	
Target Risk Assessment (after treatment)	I RR	
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Regional Director





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